

PTO/SB/97 (08-03)
Approved for use through 07/31/2006. OMB 0851-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

To: Art Unit: 1614 (Examiner Not Yet Assigned)

Fax Number: 703/ 872-9306

RECEIVED
CENTRAL FAX CENTER

SEP 15 2004

From: Robert C. Hall, Reg. No. 39209
Roche Palo Alto LLC,
3431 Hillview Ave., Palo Alto, CA, Fax No.: 650/ 855-5322

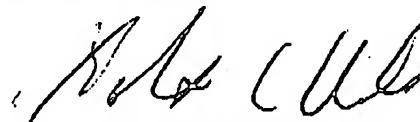
Total Pages: 04

Certificate of Transmission under 37 CFR 1.8

I hereby certify that this correspondence is being facsimile transmitted to the
United States Patent and Trademark Office

on September 15, 2004.

(Date)



Signature

Robert C. Hall, Reg. No. 39,209

Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper.

Documents Attached:

Re. S/N 10/797,545, filed March 10, 2004, inventor Repke et al.,
Docket R0152B-REG

- | | |
|--|------------|
| 1. Supplemental Information Disclosure Statement | (02 pages) |
| 2. Form PTO/SB/08a | (01 pages) |

This collection of information is required by 37 CFR 1.8. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Docs No. 128151v1

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence is being transmitted via facsimile to the Commissioner for Patents, Alexandria, VA, 22313-1450, at (703) 872-9306 on the date indicated below.

Name of Person Transmitting: Robert C. Hall

Signature: [Signature]

Date: September 15, 2004

PATENT

RECEIVED
CENTRAL FAX CENTER

SEP 15 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Supplemental Information Disclosure Statement Address to: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450	First Named Inventor	Repke, David B. <i>et al.</i>
	Application Number	10/797,545
	Filing Date	March 10, 2004
	Group Art Unit	1614
	Examiner	Not Yet Assigned
	Attorney Docket No.	R0152B-REG
	Title	Quinolinone Derivatives and Uses Thereof

Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

The attached Information Disclosure Statement is filed in compliance with 37 CFR §1.56, and the document cited therein may be material to the patentability of the subject patent application.

[X] Transmitted herewith is:

- Supplemental Information Disclosure Statement / Form 1449

[X] The Information Disclosure Statement is being submitted before the mailing date of the first Office Action. Therefore, no fee is due under 37 CFR 1.97(b).

[X] Applicants believe that no fees are due. However, should this not be the case, the Commissioner is hereby authorized to charge any additional fees that may be required to Deposit Account No. 18-1700.

The Examiner is respectfully requested to consider these documents and to make them of record in the subject application.

Docs #126155v1

1 of 1

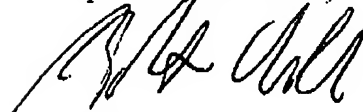
R0152B-REG

BEST AVAILABLE COPY

Clark, et al.
USSN 10/797,545

Attorney Docket No. R0152B-REG

Respectfully submitted,



Robert C. Hall
Reg. No. 39,209
Attorney for Applicant(s)

Roche Palo Alto LLC
Patent Law Dept.
3431 Hillview Avenue - M/S A2-250
Palo Alto, CA 94304
Direct Phone (650) 354-7540

Date: September 15, 2004

PTO/SB/08a (05-03)

Approved for use through 04/30/2003. OMB 0851-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**SUPPLEMENTAL
INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

Complete If Known

Application Number	10/797,545
Filing Date	March 10, 2004
First Named Inventor	Repke, David B. et al.
Art Unit	1614
Examiner Name	Not Yet Assigned
Attorney Docket Number	R0152B-REG

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Figs. Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
	A1	2004/0024210	02.05.2004	Johanssen et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Figs. Where Relevant Passages or Relevant Figures Appear	T ³
		Country Code ² - Number ⁴ - Kind Code ⁵ (if known)				

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²

Examiner Signature	Date Considered
-----------------------	--------------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.87 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Doc. #126157v1

This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ BLACK BORDERS
- ☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☒ FADED TEXT OR DRAWING
- ☐ BLURRED OR ILLEGIBLE TEXT OR DRAWING
- ☐ SKEWED/SLANTED IMAGES
- ☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
- ☐ GRAY SCALE DOCUMENTS
- ☐ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.